



National Archives and Records Administration

8601 Adelphi Road
College Park, Maryland 20740-6001

Frequently Asked Questions (FAQ)

Record Group 266

Series: Records About the Proposed Sale of Unregistered Securities by Individuals (PSS)

What information is in these records?

This series has information on the security name; names of the issuer, seller, and broker-dealer; seller's relationship to the issuer; name of class of security to be sold; the number of shares or other units to be sold, their aggregate market value, and number of shares or other units outstanding; approximate date of proposed sale; stock exchange codes; date the securities were acquired and code for nature of the acquisition; and number of shares sold in previous six months.

Why were these records created?

The agency created these files to contain data filed on Form 144, a notification to the agency of the owner's intent to resell unregistered securities as part of SEC's regulation of the sale of unregistered securities.

November 4, 2005